

# LEGISLATOR'S FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

NOTE: Before completing this Disclosure Statement, please carefully read the enclosed instructions. If additional space is needed, please duplicate this form as necessary and staple all pages together. An original signature is required on page 4; a facsimile, photocopy or stamp signature is not acceptable. Questions should be directed to Legislative Counsel at (609) 292-4625. The completed disclosure form is due May 15, 2007 and should be sent to: Joint Legislative Committee on Ethical Standards, 2nd Floor, State House Annex, Room 210, PO Box 068, Trenton, New Jersey 08625-0068.

Richard J. Codey  
PRINT NAME

CHECK APPROPRIATE HOUSE:     Senate     General Assembly

Provide the following information for yourself, your spouse and minor children (unless otherwise indicated) for calendar year 2006. For each entry, check  the box of the appropriate recipient. When an amount is requested, use the following numerical code: 1=less than \$10,000; 2=\$10,000 - \$24,999.99; 3=\$25,000 - \$49,999.99; 4=\$50,000 or more.

I. **EARNED INCOME:** List the name, address and amount for each source of earned income. (Earned income includes salaries, bonuses, royalties, commissions, profit sharing and fees.)

	Name	Address	Circle Amount Code	Self	Spouse	Child
1)	<u>See Schedule Attached</u>	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2)	_____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3)	_____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4)	_____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

II. **UNEARNED INCOME:** List the name, address and amount for each source of unearned income. (Unearned income includes rents, dividends and income from investments, trusts and estates.)

A. RENTS

	Property Address	Tenant Name	Circle Amount Code	Self	Spouse	Child
1)	<u>42 Ridge Road, Roseland, NJ</u>	<u>Leonard &amp; Kathy Brown</u>	1 2 <b>3</b> 4	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2)	_____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3)	_____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4)	_____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

When an amount is requested, use the following numerical code: 1=less than \$10,000; 2=\$10,000-\$24,999.99; 3=\$25,000-\$49,999.99; 4=\$50,000 or more.

**B. DIVIDENDS**

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Name	Address	Circle Amount Code	Self	Spouse	Child
1) <u>See Schedule Attached</u>		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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**C. INCOME from investments, trusts and estates (including capital gains).**

Name	Address	Circle Amount Code	Self	Spouse	Child
1) <u>See Schedule Attached</u>		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**III. HONORARIA and FEES:** List the name, address, nature and amount for each source of honorarium or fee received by you or your spouse for personal appearances, speeches or writings.

Name & Nature of Honorarium or Fee	Address	Circle Amount Code	Self	Spouse
1) <u>Mr. &amp; Mrs. Codey do not accept honoraria or fees for speaking engagements.</u>		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>
2) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>
3) <u>(SEE ATTACHED LETTER)</u>		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>
4) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>

**IV. REIMBURSEMENTS or PREPAID EXPENSES for TRAVEL, LODGING or SUBSISTENCE:** List the name, address, nature and amount for each source of reimbursement or prepaid expense and circle whether the source is a profit (P), nonprofit (N), or governmental (G) entity.

Name & Nature of Reimbursement or Prepaid Expense	Address	Circle Amount Code	Self	Spouse	Child	Circle P, N or G
1) <u>Olympic Agency Co., Inc.</u>	<u>200 Executive Drive West Orange, NJ</u>	① 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Ⓟ N G
2) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	P N G
3) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	P N G
4) _____		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	P N G



New Jersey Senate

P.O. Box 099  
Trenton, New Jersey 08625-0099  
(o) 609-292-5215  
(f) 609-633-7254

Richard J. Codey  
Senate President

Kathleen Crotty  
Executive Director

May 11, 2007

Joint Legislative Committee on Ethical Standards  
Statehouse Annex, Rm. 210  
P.O. Box 068  
Trenton, NJ 08625

I would like to clarify one of my responses in Section I of the disclosure statement. Under Section I, I have reported that I received over \$50,000 in earned income from the State of New Jersey. This amount includes a payment of \$8,369 after taxes that I received for unused vacation days that I accrued while I served as Governor from November 15, 2004 to January 17, 2006.

When I received the check for \$8,369, I was surprised to learn that Governors receive compensation for unused vacation time. Because I do not feel that it was right for me to keep the money, I have returned the \$8,369 payment to the State of New Jersey.

Sincerely,

Richard J. Codey

When an amount is requested, use the following numerical code: 1=less than \$10,000; 2=\$10,000-\$24,999.99; 3=\$25,000-\$49,999.99; 4=\$50,000 or more.

V. GIFTS: List the name, address, nature and amount for each source of gift in excess of \$250.

Name & Nature of Gift	Address	Circle Amount Code	Self	Spouse	Child
1) <u>N O N E</u>		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2) _____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3) _____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4) _____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

VI. LIABILITIES: List the name and address of each creditor for you or your spouse and the nature and amount of each liability except for liabilities which are: (a) less than \$15,000 and owed to a relative; (b) less than \$3,000 and owed to any other person; (c) loans secured by a personal motor vehicle or household furniture or appliances; or (d) revolving charge accounts.

Name & Nature of Liability	Address	Circle Amount Code	Self	Spouse
1) <u>GMAC Mortgage - Mortgage</u>	<u>New Jersey</u>	1 2 3 <u>4</u>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
2) <u>Washington Mutual - Mortgage</u>	<u>New Jersey</u>	1 2 3 <u>4</u>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
3) _____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>
4) _____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>

VII. FORGIVEN LIABILITIES: List the name and address of each former creditor for you or your spouse and the nature and amount of each forgiven liability which would have been required to be reported pursuant to VI above had it not been forgiven.

Name & Nature of Forgiven Liability	Address	Circle Amount Code	Self	Spouse
1) <u>N O N E</u>		1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>
2) _____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>
3) _____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>
4) _____	_____	1 2 3 4	<input type="checkbox"/>	<input type="checkbox"/>

VIII. BUSINESS ORGANIZATIONS: List the name and address of all business organizations in which you or your spouse held an interest.

Name	Address	Self	Spouse
1) <u>Olympic Agency Co., Inc.</u>	<u>200 Executive Drive, W. Orange, NJ</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>
3) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>
4) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>

IX. OFFICES, TRUSTEESHIPS, OR DIRECTORSHIPS: List the title of each position held by you or your spouse in any firm, corporation, association, partnership or business and the name and address of the entity in which the position was held.

Position Held	Name & Address of Entity	Self	Spouse
1) <u>Olympic Agency Co., Inc.</u>	<u>200 Executive Drive, W. ORANGE, NJ</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>
3) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>
4) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>

X. REAL ESTATE: Provide the address and a brief description for all real property in New Jersey in which you, your spouse, or minor child held an interest.

Property Address	Description of Property	Self	Spouse	Child
1) <u>42 Ridge Road, Roseland, NJ</u>	<u>1 Family Residential</u>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4) _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I certify that the above information is correct and complete to the best of my knowledge.

\_\_\_\_\_  
Date

*Richard J. Cooney*  
Signature of Member (must be an ORIGINAL signature, not a facsimile, stamp or photocopy)

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 OFFICE OF LEGISLATIVE SERVICES



New Jersey Senate  
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Joint Legislative Committee on Ethical Standards  
Statehouse Annex, Rm. 210  
P.O. Box 068  
Trenton, NJ 08625

I would like to inform the committee that for the January 1, 2006 to December 31, 2006 reporting period, my wife and I have been offered tens of thousands of dollars in honoraria. We have not accepted any of these offers. Instead, we have suggested to these organizations that they donate the honoraria to mental health charities.

We intend to continue this practice for the 2007 calendar year.

Sincerely,

Richard J. Codey

LEGISLATOR'S FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006						
RICHARD J. CODEY - SENATE						
I.	EARNED INCOME:					
	Name	Address	Code	Self	Spouse	Child
1)	Olympic Agency Co., Inc.	200 Executive Drive, West Orange, NJ	4	X		
2)*	State of New Jersey	P.O. Box 207, Trenton, NJ	4	X		
3)	West Orange Board of Education	179 Eagle Rock Avenue, West Orange, NJ	3		X	
4)	Sterling Properties	600 S. Livingston Ave., Livingston, NJ	1			X
5)	Township of West Orange	66 Main Street, West Orange, NJ	1			X
6)	401-K of Olympic Agency, Inc.	200 Executive Drive, West Orange, NJ	2	X		
7)	Defined Benefit Plan of Olympic Agency Pension Trust	200 Executive Drive, West Orange, NJ	4	X		
8)	NJ Division of Pensions	Trenton, NJ	1	X		
9)	Estate of Donald Codey	Trenton, NJ	1	X		
*	See Attached Letter.					

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LEGISLATOR'S FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006						
RICHARD J. CODEY - SENATE						
II.	UNEARNED INCOME:					
B.	DIVIDENDS					Amount
	Name	Address	Code	Self	Spouse	Child
1)	Olympic Agency, Inc.	200 Executive Drive, West Orange, NJ	4	X		
2)	Nicholas Applegate Fund	UBS Financial Services, Edison, NJ	1	X		
3)	PW Retirement Money Fund	UBS Financial Services, Edison, NJ	1	X		
4)	American Funds Capital Income					
	Builders Fund Class C	UBS Financial Services, Edison, NJ	1	X		
5)	New York Life Insurance	UBS Financial Services, Edison, NJ	1	X		
6)	EI Paso Corporation	UBS Financial Services, Edison, NJ	1	X		
7)	Intel Corporation	UBS Financial Services, Edison, NJ	1	X		
8)	IBM Corporation	UBS Financial Services, Edison, NJ	1	X		
9)	Johnson & Johnson	UBS Financial Services, Edison, NJ	1	X		
10)	Merck & Co.	UBS Financial Services, Edison, NJ	1	X		
11)	Penn Federal Financial Services	UBS Financial Services, Edison, NJ	1	X		
12)	Pfizer Inc.	UBS Financial Services, Edison, NJ	1	X		
13)	Standard & Poors Dep. Receipts	UBS Financial Services, Edison, NJ	1	X		
14)	American Funds Capital World					
	Growth & Income Fund - Class C	UBS Financial Services, Edison, NJ	1	X		
15)	UBS Fund	UBS Financial Services, Edison, NJ	1	X		
16)	NASQ - 100 shares	UBS Financial Services, Edison, NJ	1	X		
17)	UBS Fund	UBS Financial Services, Edison, NJ	1			X
18)	Fort Templeton Foreign C.	UBS Financial Services, Edison, NJ	1			X
19)	Ivy Global Natural Resources	UBS Financial Services, Edison, NJ	1			X
20)	UBS Small/Medium Equity Invest.	UBS Financial Services, Edison, NJ	1			X
21)	Annaly Co.	UBS Financial Services, Edison, NJ	1			X
22)	Nichola Applegate Fund - Conv.	UBS Financial Services, Edison, NJ	1			X
23)	International	UBS Financial Services, Edison, NJ	1			X
24)	UBS Tactical Allocation Fund	UBS Financial Services, Edison, NJ	1			X
25)	Claymore Zaks	UBS Financial Services, Edison, NJ	1	X		
26)	Value Strategy 05-H Ser.	UBS Financial Services, Edison, NJ	1	X		

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LEGISLATOR'S FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

RICHARD J. CODEY - SENATE

II. UNEARNED INCOME:  
B. DIVIDENDS - (Continued)

	Name	Address	Amount Code	Self	Spouse	Child
27)	Units Value Select	UBS Financial Services, Edison, NJ	1	X		
28)	Lucent Technologies	UBS Financial Services, Edison, NJ	1	X		
29)	Medco Health Solutions	UBS Financial Services, Edison, NJ	1	X		
30)	Alliance Bernstein - Large Cap	UBS Financial Services, Edison, NJ	1	X		
31)	UBS AG Notes	UBS Financial Services, Edison, NJ	1	X		
32)	Corning, Inc.	UBS Financial Services, Edison, NJ	1	X		
33)	Fortune Brands	UBS Financial Services, Edison, NJ	1	X		
34)	Gallagher Group PLC	UBS Financial Services, Edison, NJ	1	X		
35)	Sealed Air Corp.	UBS Financial Services, Edison, NJ	1	X		
36)	Wachovia Corp.	UBS Financial Services, Edison, NJ	1	X		
37)	Acco Brands Corp.	UBS Financial Services, Edison, NJ	1	X		
38)	Amedia Networks, Inc.	UBS Financial Services, Edison, NJ	1	X		
39)	BP PLC SPON ADR	UBS Financial Services, Edison, NJ	1	X		
40)	Cornell Companies	UBS Financial Services, Edison, NJ	1	X		
41)	Penn Va. Gp. Holdings	UBS Financial Services, Edison, NJ	1	X		
42)	PEPSTG Ltd. Partnership	UBS Financial Services, Edison, NJ	1	X		
43)	Global Crossing Ltd.	UBS Financial Services, Edison, NJ	1	X		

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