OFFICE OF THE STATE COMPTROLLER

RESPONSES TO GENERAL DEPARTMENTAL QUESTIONS

1. For each line item reduction in the department’s or unit’s budget, specify the change, if any, in the nature, breadth or level of service or benefit that will be provided and identify and quantify the population that may be affected.

   Answer: The Office of the State Comptroller’s proposed budget for Fiscal Year 2011 does not include any reductions from its Fiscal Year 2010 Adjusted Appropriation.

2. For each line item reduction in the department’s or unit’s budget, please indicate the number of positions (budgeted, funded and filled or unfilled) that will be eliminated and the number of individuals whose employment will be ended. If appropriate distinguish between staffing actions that may occur before and after January 1, 2011.

   Answer: As is noted in response to Question 1, there is no reduction proposed to the Office of the State Comptroller’s budget. Thus, no positions are expected to be eliminated.

3. Please identify any reductions in the department’s or unit’s budget that constitute one-time savings that are not likely to recur in Fiscal Year 2012.

   Answer: See Answer to Question 1.

4. Please identify each Fiscal Year 2010 mid-year reduction that is recommended for continuation in Fiscal Year 2011.

   Answer: Not applicable.

5. Are any of the appropriations recommended for Fiscal Year 2011 required to compensate for the effects of Fiscal Year 2010 reductions? If so, please identify and explain.

   Answer: No.

6. Please list any anticipated increase in fees, fares or co-payments that are reflected in the FY 2011 budget recommendation, including the amount of revenue or cost reduction, and the intended effective date.

   Answer: Not applicable.

7. If additional revenues were to become available during the budget process, which one or two reductions proposed for the department or unit should be top candidates for restoration? Please justify your selections.
8. Please identify proposed budget reductions which require Federal approvals, waivers or similar actions, and the timetable for seeking and obtaining approvals in order to achieve the projected savings. Please indicate whether approvals/waivers have been previously sought and not obtained, and explain why approvals should be expected in this case. If federal approval is not received, what alternative actions may have to be taken to achieve savings of comparable value? Please also identify proposed budget reductions that may reduce the receipt of federal funds, and the estimated loss of federal funds that would result from such reductions.

**Answer:** Not applicable.

9. Please describe how the department or unit implemented mandatory workforce furloughs in FY 2010, identifying the amount saved and the proportion of the workforce exempt from furloughs, and detailing the impact on individuals or firms served by or regulated by the department.

**Answer:** OSC implemented the mandatory furloughs as directed by the Civil Service Commission. None of our staff was exempt and all employees have either taken or are scheduled to take a total of 10 furlough days before the end of Fiscal Year 2010. The office was closed for an entire day in May 2009, as well as for Lincoln’s Birthday and the day after Thanksgiving, but otherwise the remaining furlough days have all been self-directed by employees. OSC saved approximately $137,000 from the mandatory furloughs. In terms of impact, the furloughs resulted in 10 fewer days devoted by our staff to its mission of identifying waste in government. Other than that, there was no significant impact on local government units or others who interact with our office on a regular basis.

10. The Budget in Brief references savings to be achieved both through privatization and “insourcing.” Please identify savings in your budget associated with either of these initiatives. Please provide examples of successes or failures of such initiatives in prior years.

**Answer:** Not applicable.